

 <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>			Complete if Known		
			Application Number	09/225,687	
			Filing Date	01/06/1999	
			First Named Inventor	Mills	
			Group Art Unit	1745	
			Examiner Name	Kalafut	
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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee of Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/225,687	
			Filing Date	01/06/1999	
			First Named Inventor	Mills	
			Group Art Unit	1745	
			Examiner Name	Kalafut	
Sheet	23	of	30	Attorney Docket Number	

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		44. Mills, et. al. "Synthesis and Characterization of Lithium Chloro Hydride", <i>International Journal of Hydrogen Energy</i> , submitted. (Internet Publication Date: January 7, 2002.)	
		43. Mills et al., "Substantial Changes in the Characteristics of a Microwave Plasma Due to Combining Argon and Hydrogen," <i>New Journal of Physics</i> , www.njp.org, Vol. 4, (2002), pp. 22.1–22.17. (Internet Publication Date: Dec. 27, 2001.)	
		42. Mills et al., "A Comprehensive Study of Spectra of the Bound-Free Hyperfine Levels of Novel Hydride Ion, Hydrogen, Nitrogen, and Air," <i>Int. J. Hydrogen Energy</i> , Vol. 28, No. 8, (2003), pp. 825–871. (Internet Publication Date: Nov. 14, 2001.)	
		41. Mills et al., "Novel Alkali and Alkaline Earth Hydrides for High Voltage and High Energy Density Batteries," <i>Proceedings of the 17th Annual Battery Conference on Applications and Advances</i> , California State University, Long Beach, CA, (January 15-18, 2002), pp. 1–6.	
		40. Mills et al., "On the Potential of Direct and MHD Conversion of Power from a Novel Plasma Source to Electricity for Microdistributed Power Applications," <i>IEEE Transactions on Plasma Science</i> , August, (2002), Vol. 30, No. 4, pp. 1568–1578. (Internet Publication Date: Nov. 12, 2001.)	
		39. Mills et al., "Stationary Inverted Lyman Populations and Free-Free and Bound-Free Emission of Lower-Energy State Hydride Ion Formed by an Exothermic Catalytic Reaction of Atomic Hydrogen and Certain Group I Catalysts," <i>J. Phys. Chem. A</i> , submitted. (Internet Publication Date: Nov. 13, 2001.)	
		38. Mills et al., "Highly Stable Novel Inorganic Hydrides from Aqueous Electrolysis and Plasma Electrolysis," <i>Electrochimica Acta</i> , Vol. 47, No. 24, (2002), pp. 3909–3926. (Internet Publication Date: June 13, 2002.)	
		37. Mills et al., "Comparison of Excessive Balmer Line Broadening of Glow Discharge and Microwave Hydrogen Plasmas with Certain Catalysts," <i>J. of Applied Physics</i> , (2002), Vol. 92, No. 12, pp. 7008–7022. (Internet Publication Date: Oct. 9, 2002.)	
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			Filing Date	01/06/1999	
			First Named Inventor	Mills	
			Group Art Unit	1745	
			Examiner Name	Kalafut	
Sheet	24	of	30	Attorney Docket Number	

OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS			
Examine r Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		36. Mills et al., "Emission Spectroscopic Identification of Fractional Rydberg States of Atomic Hydrogen Formed by a Catalytic Helium-Hydrogen Plasma Reaction," Vacuum, submitted. (Internet Publication Date: Oct. 9, 2001.)	
		35. Mills et al., "New Power Source from Fractional Rydberg States of Atomic Hydrogen," Current Appl. Phys., submitted. (Internet Publication Date: Oct. 9, 2001.)	
		34. Mills et al., "Spectroscopic Identification of Transitions of Fractional Rydberg States of Atomic Hydrogen," J. of Quantitative Spectroscopy and Radiative Transfer, in press. (Internet Publication Date: Oct. 9, 2001.)	
		33. Mills et al., "New Power Source from Fractional Quantum Energy Levels of Atomic Hydrogen that Surpasses Internal Combustion," J Mol. Struct., Vol. 643, No. 1-3, (2002), pp. 43-54. (Internet Publication Date: Oct. 10, 2001.)	
		32. Mills et al., "Spectroscopic Identification of a Novel Catalytic Reaction of Rubidium Ion with Atomic Hydrogen and the Hydride Ion Product," Int. J. Hydrogen Energy, Vol. 27, No. 9, (2002), pp. 927-935. (Internet Publication Date: Sept. 19, 2001.)	
		31. Mills et al., "Measurement of Energy Balances of Noble Gas-Hydrogen Discharge Plasmas Using Calvet Calorimetry," Int. J. Hydrogen Energy, Vol. 27, No. 9, (2002), pp. 967-978. (Internet Publication Date: Sept. 14, 2001.)	
		30. Mills et al., "Measurement of Hydrogen Balmer Line Broadening and Thermal Power Balances of Noble Gas-Hydrogen Discharge Plasmas," Int. J. Hydrogen Energy, Vol. 27, No. 6, (2002), pp. 671-685. (Internet Publication Date: Aug. 22, 2001.)	
		29. Mills et al., "Vibrational Spectral Emission of Fractional-Principal-Quantum-Energy-Level Hydrogen Molecular Ion," Int. J. Hydrogen Energy, Vol. 27, No. 5, (2002), pp. 533-564. (Internet Publication Date: July 19, 2001.)	
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		28. Mills et al., "Spectral Emission of Fractional Quantum Energy Levels of Atomic Hydrogen from a Helium-Hydrogen Plasma and the Implications for Dark Matter," <i>Int. J. Hydrogen Energy</i> , (2002), Vol. 27, No. 3, pp. 301–322. (Internet Publication Date: Aug. 1, 2001.)	
		27. Mills, et. al. "Spectroscopic Identification of a Novel Catalytic Reaction of Potassium and Atomic Hydrogen and the Hydride Ion Product", <i>International Journal of Hydrogen Energy</i> , Vol. 27, No. 2, (2002), pp. 183-192. (Internet Publication Date: January 11, 2002)	
		26. Mills, "BlackLight Power Technology-A New Clean Hydrogen Energy Source with the Potential for Direct Conversion to Electricity," <i>Proceedings of the National Hydrogen Association, 12 th Annual U.S. Hydrogen Meeting and Exposition, Hydrogen: The Common</i>	
		25. Mills, et. al. "Minimum heat of formation of potassium iodo hydride." <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, pp. 1199-1208. (Internet Publication Date: March 23, 2001)	
		24. Mills, et al. "Stereoscopic Identification of a Novel Catalytic Reaction of Atomic Hydrogen and the hydride ion product." <i>International Journal of Hydrogen Energy</i> , Vol. 26. 2001. pp.1041-1058. (Internet Publication Date: March 23, 2001.)	
		23. Mills et al., "Optically Measured Power Balances of Glow Discharges of Mixtures of Argon, Hydrogen, and Potassium, Rubidium, Cesium, or Strontium Vapor," <i>Int. J. Hydrogen Energy</i> , Vol. 27, No. 6, (2002), pp. 651–670. (Internet Publication Date: July 20, 2001.)	
		22. Mills, "The Grand Unified Theory of Classical Quantum Mechanics," Global Foundation, Inc. <i>Orbis Scientiae</i> entitled <i>The Role of Attractive and Repulsive Gravitational Forces in Cosmic Acceleration of Particles The Origin of the Cosmic Gamma Ray Bursts</i> , (29th	
		21. Mills, "The Grand Unified Theory of Classical Quantum Mechanics," <i>Int. J. Hydrogen Energy</i> , Vol. 27, No. 5, (2002), pp. 565–590. (Internet Publication Date: Sept. 17, 2001.)	
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				Examiner Name	Kalafut
Sheet	26	Of	30	Attorney Docket Number	

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		20. Mills et al., "Argon-Hydrogen-Strontium Discharge Light Source," IEEE Transactions on Plasma Science, Vol. 30, No. 2, (2002), pp. 639–653. (Internet Publication Date: Dec. 7, 2000.)		
		19. Mills et al., "Identification of Compounds Containing Novel Hydride Ions by Nuclear Magnetic Resonance Spectroscopy," Int. J. Hydrogen Energy, Vol. 26, No. 9, (2001), pp. 965–979. (Internet Publication Date: March 22, 2001.)		
		18. Mills, "BlackLight Power Technology-A New Clean Energy Source with the Potential for Direct Conversion to Electricity," Global Foundation International Conference on "Global Warming and Energy Policy," Dr. Behram N. Kursunoglu, Chairman, Fort Lauderdale, FL,		
		17. Mills, "The Nature of Free Electrons in Superfluid Helium—a Test of Quantum Mechanics and a Basis to Review its Foundations and Make a Comparison to Classical Theory," Int. J. Hydrogen Energy, Vol. 26, No. 10, (2001), pp. 1059–1096. (Internet Publication Date: Dec. 11,		
		16. Mills et al., "Excessively Bright Hydrogen-Strontium Plasma Light Source Due to Energy Resonance of Strontium with Hydrogen," J. of Plasma Physics, Vol. 69, (2003), pp. 131–158. (Internet Publication Date: Aug. 27, 2001.)		
		15. Mills et al., "Observation of Extreme Ultraviolet Hydrogen Emission from Incandescently Heated Hydrogen Gas with Certain Catalysts," Int. J. Hydrogen Energy, Vol. 25, (2000), pp. 919–943. (Internet Publication Date: June 27, 2000.)		
		14. R. Mills, "Observation of Extreme Ultraviolet Emission from Hydrogen-KI Plasmas Produced by a Hollow Cathode Discharge," Int. J. Hydrogen Energy, Vol. 26, No. 6, (2001), pp. 579–592. (Internet Publication Date: July 10, 2000.)		
		13. Mills, "Temporal Behavior of Light-Emission in the Visible Spectral Range from a Ti-K ₂ CO ₃ -H-Cell," Int. J. Hydrogen Energy, Vol. 26, No. 4, (2001), pp. 327–332. (Internet Publication Date: July 10, 2000.)		
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		12. Mills et al., "Formation of a Hydrogen Plasma from an Incandescently Heated Hydrogen-Catalyst Gas Mixture with an Anomalous Afterglow Duration," Int. J. Hydrogen Energy, Vol. 26, No. 7, July, (2001), pp. 749-762. (Internet Publication Date: June 28, 2000.)		
		11. Mills et al., "Observation of Extreme Ultraviolet Hydrogen Emission from Incandescently Heated Hydrogen Gas with Strontium that Produced an Anomalous Optically Measured Power Balance," Int. J. Hydrogen Energy, Vol. 26, No. 4, (2001), pp. 309-326. (Internet Publication Date: June 27, 2000.)		
		10. Mills et al., "Synthesis and Characterization of Potassium Iodo Hydride," Int. J. of Hydrogen Energy, Vol. 25, Issue 12, December, (2000), pp. 1185-1203. (Internet Publication Date: Nov. 12, 2001.)		
		9. Mills. "Novel inorganic hydride." International Journal of Hydrogen Energy, Vol 25, 2000, pp. 669-683. (Internet Publication Date: June 28, 2000)		
		8. Mills et al., "Synthesis and Characterization of Novel Hydride Compounds," Int. J. of Hydrogen Energy, Vol. 26, No. 4, (2001), pp. 339-367. (Internet Publication Date: June 13, 2001.)		
		7. R. Mills, "Highly Stable Novel Inorganic Hydrides," Journal of New Materials for Electrochemical Systems, Vol. 6, (2003), pp. 45-54. (Internet Publication Date: Nov. 20, 2001.)		
		6. R. Mills, "Novel Hydrogen Compounds from a Potassium Carbonate Electrolytic Cell," Fusion Technology, Vol. 37, No. 2, March, (2000), pp. 157-182. (Internet Publication Date: June 26, 2000.)		
		5. Mills, "The Hydrogen Atom Revisited," Int. J. of Hydrogen Energy, Vol. 25, Issue 12, December, (2000), pp. 1171-1183. (Internet Publication Date: June 27, 2000.)		
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		4. Mills et al., "Fractional Quantum Energy Levels of Hydrogen," Fusion Technology, Vol. 28, No. 4, November, (1995), pp. 1697-1719. (Internet Publication Date: Nov. 1, 2001.)	
		3. Mills et al., "Dihydrino Molecule Identification," Fusion Technology, Vol. 25, 103-119 (Jan. 1994). (Internet Publication Date: April 11, 2001.)	
		Mills Technologies. "1KW Heat Exchanger System." <i>Thermacore, Inc.</i> , Oct 11 1991, pp. 1-6	
		Mills Technologies. "1KW Heat Exchanger System." <i>Thermacore, Inc.</i> , April 17, 1992, pp. 1-6	
		Mills, "Classical Quantum Mechanics." <i>Physica Scripta</i> , submitted.	
		Mills, "The Grand Unified Theory of Classical Quantum Mechanics," (2001), Distributed by Amazon.Com.	
		Mills, et. al. "Excess Heat Production...Cold Fusion." <i>Fusion Technology</i> , Vol 20, Feb 1991, pp. 65-81.	
		Mills, et. al. <i>Fusion Technol.</i> Vol.20, 65 (1991). Internet Publication Date 4/11/01	
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PTO/SB/08B (Modified)

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				Examiner Name	Kalafut
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		Mills, "Author's response 'A possible trick of Hydride atom'," <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, p. 1225.	
		Mills, "BLACKLIGHT POWER TECHNOLOGY: A New Clean Energy Source with the Potential for Direct Conversion to Electricity," <i>International Conference on Global Warming and Energy Policy</i> , Ft. Lauderdale, Florida, November 26-28, 2000. Internet Publication 1/19/01.	
		Mills, "Hydro catalysis Power Technology," <i>Statement of Dr. Randell L. Mills</i> , May, 1993.	
		Mills, "The Grand Unified Theory of Classical Quantum Mechanics," pp. 1-9	
		Mills, "Unification of Spacetime, the Forces, Matter, Energy, Hydro catalysis Power Corporation," 1992, pp. 53-84.	
		Mills, "Author's response to 'Hydrino atom: novel chemistry or invalid physics'," <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, pp. 1233.	
		Mills, "Author's response to 'Hydrino theory- a proposed amendment'," <i>International Journal of Hydrogen Energy</i> , Vol 26, 2001, pp. 1229-1231.	
		Mills, "Power Spectrum of the Cosmic Microwave Background" <i>BlackLight Power, Inc.</i> 2001.	
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PTO/SB/08B (Modified)

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		Mills, "The Grand Unified Theory of Classical Quantum Mechanics," pp. 13-14, BlackLight Power, Inc., pp. 433-440, 2001.		
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ATTACHMENT A

		Date(s)	Compensation Arrangements	Cash Payments Made	Options Issued	Shares Owned
AKHTAR (Employee)	Dr. Kamran	-	Employee Salary	-	EE Options Only	None
BALDWIN (NASA)	Dr. R.	-	-	-	None	None
BONIFACE (Chalk River Labs)	Dr. H.A.	-	-	-	None	None
BRADFORD (PSU Student)	Dr. Michael	9/13/1994	Ad Hoc/ One-time Only	9/13/1994	None	None
CHANG (Employee)	Dr. Zhixiang	-	Employee Salary	-	EE Options Only	None
CHEN (EE & Consultant)	Dr. Chun-Ku	6/1/04-5/31/05	\$50/hour	2/7/2005	EE Options Only	None
CHEN (Employee)	Dr. Xuemin	-	Employee Salary	-	EE Options Only	None
CLARK (INP Greifswald)	Dr. H.R.	-	-	-	None	None
CONRADs (INP Greifswald transferred agrmnt to Conrad's)	Dr. Johannes	8/4/99-9/23/05	2 wire transfers	2/14/00 6/12/01	Options granted from 9/9/96 to 8/5/97; some options expired; some options exercised 9/7/06	Some options exercised 9/7/06
CRAIG	Dr. W.Y.	-	-	-	None	None
CRAW-IVANCO (Chalk River Labs)	Dr. M.T.	-	-	-	None	None
DAYALAN (Employee)	Dr. Ethirajulu	-	Employee Salary	-	EE Options Only	None
DHANDAPANI (Employee)	Dr. Bala	-	Employee Salary	-	EE Options Only	None
DONG (EE & Consultant)	Dr. Jinquan	7/30/03-1/31/04 2/1/04-7/31/04 11/16/04-5/1/505	\$35/hour \$45/hour \$45hour	2/28/02 8/20/03	EE Options Only	None
ECHEZURIA (Employee)	Alejandra	-	Employee Salary	-	EE Options Only	None
FRALICK (Semitech)	Dr. G.C.	-	-	-	None	None
FUELLING (U Nevada)	Dr. Stephan	-	-	-	None	None
GAMBERALE	Luca	-	-	-	None	None
GERNERT (Thermacore)	Dr. N.J.	-	-	-	None	None
GOOD (EE & Officer)	William	-	Employee Salary	-	EE & Officer Options Issued Beginning 9/1/92	Shares Owned Beginning 1991

GREENIG (EE & Consultant)	Nelson	11/1/01-10/31/02 11/1/02-10/31/03 3/8/04-3/7/05 3/8/05-3/7/06 3/8/06-3/7/07 3/8/07-3/7/08	\$50/hour \$50/hour \$50/hour \$50/hour \$50/hour \$50/hour	7/19/95 4/27/01 10/14/02	EE Options Only	None
HALDEMAN (MIT Lincoln Labs)	Dr. C.W.	-	-	-	None	None
HE (Employee)	Dr. Jiliang	-	Employee Salary	-	EE Options Only	None
HICKS (Employee)	Steven	-	Employee Salary	-	EE Options Only	None
HILBORN (Chalk River Labs)	Dr. J.W.	-	-	-	None	None
ISELER (MIT Lincoln Labs)	Dr. G.W.	-	-	-	None	None
JACOX (INEL)	Dr. Michael	-	-	-	Options granted 9/9/96 to 3/3/97; all options expired	None
JANSSON (Rowan U)	Dr. Peter M.	6/10/02-10/31/02 (contract)	\$100 \$10	10/17/97 12/31/2002	None	Purchased shares 7/2/97; gifted to ex-wife and son 5/1/07
KNEIZYS	S.	-	-	-	None	None
KRYUKOV (Moscow Power Engineering Institute)	Dr. A.P.	-	-	-	None	None
KURTZ (PSU)	Dr. Stewart	-	-	-	Options granted 9/9/96 to 11/8/02; some expired	None
LEE (U of Ohio)	Dr. Jang-Jung	-	-	-	None	None
LU (EE & Consultant)	Dr. Ying	8/6/01 5/9/03-5/16/03 5/27/03-5/30/03 5/30/03-6/30/03 7/1/03-7/31/03 8/1/03-1/30/04	\$100/hour \$80/hour \$80/hour \$45/hour \$45/hour \$45/hour	10/01/01 5/23/03	EE Options Only	None
MARCHESE (Rowan U)	Dr. Anthony J.	6/10/02-10/31/02 (contract)	\$10 non-material	12/31/2002	None	None
MAYO (EE & Consultant)	Dr. Robert	6/8/01-8/8/01	\$50/hour	7/23/2001	EE Options Only	None
MEYERS (NASA)	Dr. I.	-	-	-	None	None
MILLER (Lehigh U)	Dr. Alfred	-	\$250	6/12/1997	Options granted 9/9/96 to 3/3/97; all options expired	None

MILLS (EE & Director)	Dr. Randell	-	Employee Salary	-	EE & Director Options Issued Beginning 9/9/96	Shares Owned Beginning 1991
NANSTEEL (EE & Consultant)	Dr. Mark W.	3/30/05-3/29/06 3/30/06-3/29/07 3/30/07-8/31/08	\$80/hour \$80/hour \$80/hour	8/24/2005	EE Options Only	None
NESTEROV (Moscow Power Engineering Institute)	Dr. S.B.	-	-	-	None	None
NIEDRA (NASA)	Dr. J.	-	-	-	None	None
NONINSKI (Brookhaven)	Dr. V.	-	-	-	None	None
ONUMA (Employee)	Dr. Takeyoshi	-	Employee Salary	-	EE Options Only	None
PACIFICORP PETERSON (Westinghouse)	Dr. S.H.	-	-	-	Options granted 4/7/97 to 4/27/98; some options expired	Purchased shares 9/20/96 & 7/2/97; transferred all shares to ScottishPower Ventures, Inc. 6/21/07
PHILLIPS (PSU, UNM, LANL)	Dr. Jonathan	2/1/96-1/31/97 1/1/97-4/30/97 3/15/00-3/18/00 10/8/01-4/8/02 4/8/02-10/8/02 10/9/02-10/8/03 10/9/03-10/8/04 10/9/04-10/8/05 10/9/05-10/8/06 10/9/06-10/8/07	\$100/hour Options Only \$5,000 \$125/hour \$125/hour \$125/hour \$100/hour \$100/hour \$100/hour \$100/hour	4/26/95 5/9/00	Options granted 9/9/96-1/14/06; some options exercised	Some options exercised 9/1/06 & 2/20/07
RAY (Employee)	Dr. Paresh	-	Employee Salary	-	EE Options Only	None
SANKAR (EE & Consultant)	Dr. Jayašree	3/17/03-3/16/04 3/22/04-3/21/05	\$50/hour \$50/hour	-	EE Options Only	None
SAVOYE (MIT Lincoln Labs)	Dr. G.W.	-	-	-	None	None
SCHARER (U Wisconsin)	Dr. John	1/5/05-1/4/06 1/5/06-1/4/07 1/5/07-1/4/08	\$800/day \$100/hour \$110/hour	11/14/2005	None	None
SCHMALZEL (Rowan U)	Dr. John L.	6/10/02-10/31/02 (contract)	\$10 non-material	12/31/2002	None	None
SHANNON (Employee)	Tina	-	Employee Salary	-	EE Options Only	None
SHAUBACH (Thermacore)	Dr. R.M.	-	-	-	None	None
SIMMONS (Lehigh U)	Dr. G.	-	-	-	None	None
TREMBLAY (Chalk River Labs)	Dr. R.P.	-	-	-	None	None

TURNER (Spectral Data)	Dr. Gary L.	-	-	-	None	None
VOIGT (EE & Consultant)	Dr. Andreas	8/27/04-8/26/05	\$50/hour	-	EE Options Only	None
WATTS (INEL)	Dr. K.D.	-	-	-	None	None
WEISMANN	Dr. H.	-	-	-	None	None
WIESMANN	Dr. H.	-	-	-	-	-
WRUBEL (INP Greifswald)	Dr. Th.	-	-	-	None	None
ZEA (Employee)	Dr. Hugo	-	Employee Salary	-	EE Options Only	None

ATTACHMENT B

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